

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Bessette et al.

Serial No.: 09/679,705

Filed: October 5, 2000

For: *Compositions and Methods for Production of Disulfide Bond Containing Proteins in Host Cells*

Examiner: None assigned

Group Art Unit: None assigned

Attorney Docket No.: HMV-052.01

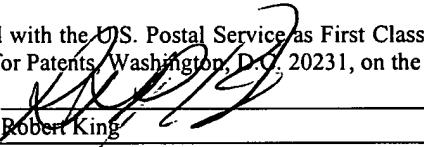
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Washington, D.C. 20231

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April 19, 2001  
Date of Signature and Mail Deposit

By:

  
Robert King

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

The Applicant hereby requests that the following corrections be made in the formal Filing Receipt for the above-identified patent application:

- 1) Please correct the last inventor's name listed from "Lam" to the correct name "Lim;" and
- 2) Please change the "which's in the Continuing Data section to "and"s.

A copy of the Filing Receipt with the changes noted thereon is attached hereto.

Should there be any questions concerning this request, the Examiner is invited to contact the undersigned at (617) 832-1000.

Respectfully submitted,  
FOLEY, HOAG & ELIOT LLP



Anita Varma  
Attorney for Applicants  
Reg. No. 43,221

Patent Group  
FOLEY, HOAG & ELIOT LLP  
One Post Office Square  
Boston, MA 02109  
(617) 832-1000  
Date: April 19, 2001

Jeb



APR 23 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/679,705	10/05/2000	1632	0	HMV-052.01	11	54	2

## FILING RECEIPT

Patent Group  
 Foley Hoag & Eliot LLP  
 One Post Office Square  
 Boston, MA 02109



\*OC000000005632475\*

Date Mailed: 12/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jonathan Beckwith, Cambridge, MA ;  
 Fredrik Aslund, Cambridge, MA ;  
 Peter H. Bessette, Austin, TX ;  
 George Georgiou, Austin, TX ;  
 Daniel Ritz, Everett, MA ;  
 Jackie Eun-ah Lam, Shrewsbury, MA ;  
Lam

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/157,770 10/05/1999  
 AND WHICH CLAIMS BENEFIT OF 60/163,939 11/08/1999  
 AND WHICH CLAIMS BENEFIT OF 60/166,044 11/17/1999

## Foreign Applications

If Required, Foreign Filing License Granted 12/20/2000

## Title

Compositions and methods for production of disulfide bone containing proteins in host cells

## Preliminary Class

435

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/679,705	10/05/2000	Jonathan Beckwith	HMV-052.01

FORMALITIES LETTER

Patent Group  
Foley Hoag & Eliot LLP  
One Post Office Square  
Boston, MA 02109



\*OC000000005632476\*

Date Mailed: 12/21/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Adjustment date: 05/01/2001 TGEDAMU1  
04/24/2001 FFANAEIA 00000027 061448 09679705  
02 FC:103 612.00 OP  
03 FC:105 16.00 CH -114.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$612.
  - \$612 for 34 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

05/01/2001 TGEDAMU1 00000086 09679705

01 FC:203	306.00 OP
02 FC:205	65.00 OP
03 FC:201	355.00 OP

- The balance due by applicant is \$ 1452.

*A copy of this notice **MUST** be returned with the reply.*

*H. Bell* T-4  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2001 FFANAEIA 00000027 09679705

02 FC:103 612.00 OP  
03 FC:105 16.00 CH -114.00 OP

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H-A  
SECTION 3 ✓  
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FAX: 202-785-6687

April 19, 2001

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Washington, DC 20231

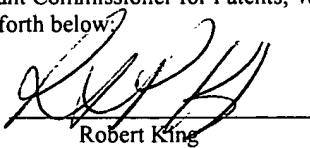
Re: U.S. Patent Application Serial No.: 09/679,705  
Entitled: *Compositions and Methods for Production of Disulfide Bond Containing Proteins in Host Cells*.  
By: Bessette et al.  
Filed: October 5, 2000  
Attorney Docket No.: HMV-052.01

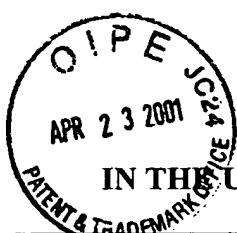
Dear Sir:

Enclosed for filing in the above-referenced patent application please find the following:

1. Copy of Notice to File Missing Parts;
2. Response to Notice to File Missing Parts;
3. Verified Statement Claiming Small Entity Status (Harvard College);
4. Verified Statement Claiming Small Entity Status (University of Texas);
5. Check in the amount of \$726.00 for filing fee and surcharge;
6. Six (6) executed Declarations;
7. Petition for Two-Month Extension of Time;
8. Check in the amount of \$195.00 for extension of time;
9. Request for Correction of Filing Receipt, with attached corrected Filing Receipt; and
10. Return receipt postcard.

The Commissioner is hereby authorized to charge any under-payments or credit any over-payments to our Deposit Account No. 06-1448.

<b>Certificate of First Class Mailing</b>	
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<u>April 19, 2001</u> Date	 Robert King
Respectfully submitted, <b>FOLEY, HOAG &amp; ELIOT LLP</b>	
 Anita Varma, Esq. Reg. No. 43,221 Attorney for Applicants Customer ID No. 25181	



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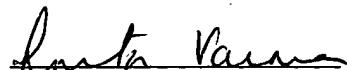
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

Enclosed herewith is the Applicant's response to the Notice to File Missing Parts dated December 21, 2000. In support of its response, the Applicant encloses two (2) Verified Small Entity Status forms (from Harvard College and University of Texas), a check in the amount of \$726.00 as a filing fee, with surcharge, and six (6) executed Declarations for Patent Application (one signed by each of the inventors).

As the Response to the Notice to File Missing Parts was due on February 21, 2001, the Applicant has also enclosed a Petition for Two-Month Extension of Time and a check in the amount of \$195.00 for the extension of time. If there is any further fee due in connection with this filing, or if any refund is owed, please charge/credit our Deposit Account No. 06-1448.

Respectfully submitted,  
FOLEY, HOAG & ELIOT LLP



Anita Varma  
Attorney for Applicants  
Reg. No. 43,221

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FOLEY, HOAG & ELIOT LLP  
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Date: April 19, 2001